Impact Analysis Report / RFC-Proposal

Section 1: Meta-data

RFC ID	**RFC_NCTS_0218** (JIRA: UCCNCTS-3366 & UCCNCTS-3563)
Related Incident ID	**N/A**
RFC Initiator / Organization	**DG TAXUD/B1 & B3**
CI	**NCTS-P5 (DDNTA-5.15.1-v1.00 – Main Document)**
Type of Change	☒ **Standard**　　☐ **Emergency**
Nature of Change	◯ **Corrective**　　◉ **Evolutionary (evolutive)** Justification for Evolutive To adapt the DDNTA to the new reality of some few NAs running over the deadline of 01.12.2023. Update of the UCC Working Programme & IRTA.
RFC Source	☒ **Legal & Policy Change**　　☐ **Business Change** ☐ **Organisational Changes**　　☐ **IT Change**
Review by Business User recommended?	☒ **Yes**　　☐ **No**

Change Summary

NCTS-P5 DDNTA-5.15.1-v1.00 (Main Document & Appendix C): Technical translation of the latest revision of the UCC Work Programme and IRTA. Defining at technical level the new deadlines included in the (draft) update of the UCC Work Programme. Update of CL990 data in CS/RD2 CONF and CS/RD2 PROD.

In full alignment to the *current* UCC WP legal provisions and CTC provisions, it is planned that **on 01.12.2023** the National Administrations (NAs) manage the transition from "NCTS-P5_Transitional Period" to "NCTS-P5_Final Implementation" by simply applying the transitional rules (TRT&BRT) that will be enforcing new constraints or will be relaxing temporary constraints.

Though **the majority of NAs would be ready for this transition**, **a limited number of NAs** have announced that their NTA-P5 application will **not** be (fully) ready to start operating as an NCTS-P5 country.

In addition, there are indications that **not all Traders** in all countries **will be ready for NCTS-P5**, which requires specific actions in those countries, to ensure the **continuity of operations** such as the parallel running of the *legacy* and *new* systems at National Level (i.e., to avoid any impact on their 'Legacy' traders) after the 01.12.2023).

A/
This RFC defines how to ensure - at technical level – that the goods can be moved in Europe under the transit procedure without facing operational discontinuity issues after 01.12.2023.

This will be achieved by maintaining further – *without any change* - the initially defined transitional rules as operational continuity rules **in the Common Domain** and **in the External Domain until** the date defined by the UCC Work Programme for the completion of the NCTS-P5 project as a whole. Concretely, this will be done by updating the technical value of 'TPendDate' - in the Codelist CL990 of CS/RD2 - with the date defined by the UCC Work Programme and IRTA (i.e., 21.01.2025).

This change will:
- offer to all NAs the **required operational continuity**;
- manage – without changing the technical specifications – the split of the scope between CORE functionalities (= step 1 defined in *UCC WP Revision – draft version 3.00 - Annex[1]*) and NON-CORE functionalities (= step 2);
- avoid any technical impact on all the NAs that will be ready before the end of the initially defined Transitional Period (i.e., 01.12.2023).

B/
This RFC amends the **Communication Protocol after 01.12.2023 until the end of the Transitional Period**, by fostering the use of the "To Be" protocol for all exchanges between two "To Be" NAs.

C/
Finally, this RFC also lists the Core and Non-Core functions introduced in the *UCC WP Revision – draft version 3.00 - Annex*.

Section 2: Problem statement

The agreed date for all NAs to enter the final UCC compliant operation is no later than 01.12.2023, as defined by the *current* UCC Work Programme[decision 2019/2151/EU] (this date is also included in the MASP-C). The **draft revision 3 of the UCC WP[1]** defines that the deadline of 01.12.2023 remains applicable for the **core** functionalities, while the **non-core** functionalities will be implemented at a later date but not later than 02.12.2024. Note that the Transitional Delegated Act [Commission Delegated Regulation No 2016/341 and amendments including the latest one: Commission Delegated Regulation (EU) 2021/234] will remain applicable for NCTS-P5 until 21.01.2025.

In this context, it will be necessary to manage:
- "To Be" countries with both **core and non-core** functionalities implemented by 01.12.2023,
  - Either with **all** their traders operating as "To Be" traders per NCTS-P5, lodging transit declarations compatible with the provisions of the TDA;
  - Or with a number of "Legacy" traders operating only as per NCTS-P4 (in case of progressive on-boarding of traders);
- "To Be" countries with **only the core** functionalities implemented by 01.12.2023,
  - Either with all their traders operating as "To Be" traders per NCTS-P5, lodging transit declarations compatible with the provisions of the TDA;
  - Or with a number of "Legacy" traders operating only as per NCTS-P4 (in case of progressive on-boarding of traders);
- a limited number of countries having **not yet implemented the core** functionalities and still operating (with all their traders) the 'Legacy' National Transit Application after the 01.12.2023 (for a limited period of time).

If nothing is changed, the countries running over the deadline of 01.12.2023 would be unable to exchange messages with all the countries operating NCTS-P5 by that date.
To ensure the operational continuity of transit in Europe, it is required that the exchange of messages between a 'Legacy' NA and a 'To Be' NA (or between two 'Legacy' NAs) remains possible until the date defined by the UCC Work Programme, alias the end of the NCTS-P5 project.

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[1] The draft UCC WP Revision and the IRTA documents are expected to be communicated by DG TAXUD to the National Administrations before the ECCG of 5-6 October 2023.

Practically, **the solution currently applied remains the only possible solution to resolve the identified incompatibility issues between NCTS-P4 and NCTS-P5**. Consequently, until the end of the Transitional Period, being modified by the UCC WP) the following must be applicable:

1. All the transitional measures (in the form of BRTs/TRTs) in the **Common Domain** must be continued/extended unchanged after 01.12.2023;
2. All the transitional measures (in the form of BRTs/TRTs) in the **External Domain** must be continued/extended unchanged after 01.12.2023.

This solution offers the benefit of **guaranteed operational continuity and alignment to the legal texts (in particular with the TDA), for transit with no extra efforts for applying the latest revision of the UCC Work Programme and IRTA, including for the countries (and their traders) already operating NCTS-P5 processes earlier than 01.12.2023**.

The date defined in the UCC WP and IRTA for the expiration of the TRT/BRT1 and the activation of the BRT2, should replace the *current* value of '*TPendDate*' registered in the Codelist CL990 of CS/RD2 and must be seen as the new **technical validity date** of the transitional rules, **to ensure the operational continuity of NCTS operations**.

As defined in the UCC Work Programme and IRTA, **ALL traders** must be migrated and operating as **"To Be" Traders as defined by each National Administrations (and it cannot be after 02.12.2024).**

In this context, it should be reminded explicitly in the DDNTA Main Document that it is required **that all "To Be" NAs and their traders must implement all defined BRTs/TRTs in their systems along with the Transition Handler**, regardless of their planned date of entry in operations. This will safeguard that any messages sent by the NAs or Traders running over the 01.12.2023 step 1 deadline will be correctly processed, and it will avoid that unnecessary rejections will take place. Moreover, it should be also specified that **the existing tool for conversion developed by DG TAXUD (i.e., the ieCA application, operated centrally or nationally) or any nationally developed convertor put in place by the "To Be" NAs shall be maintained** during the extended Transitional Period, to facilitate the smooth conversion of messages from 'Legacy' to 'To Be' format and vice versa.

Considering the above elements, the Section IV (**NCTS-P5 Transitional Scenarios**) of the DDNTA-5.15.1-v1.00 (Main Document), should be slightly adapted to describe the raising situation and the applicable Protocol for the Common Domain exchanges between 01.12.2023 and the end of the Transitional Period.

Section 3: Description of proposed solution

The following changes are effective as soon as the RFC-List.38 is approved by ECCG.
The **DDNTA and DDCOM will not be re-published** to document only this RFC-List.38.
All National Teams are invited to consider that the Technical Specifications applicable from 01.12.2023 are:

- **the DDNTA-5.15.1-v1.00, and**
- **the approved version of this RFC-List.38.**

It shall be communicated by all NAs to all their traders as early as possible.

The **DDNTA-5.15.2-v1.00-(SfA-NPM)** and **DDCOM-20.4.1-v1.00-(SfA-NPM)** will be published with alignment to RFC-List.38 and the RFC-List.39 details (including the correction for the increase of multiplicity of the House Consignment, underline effective on '*TPendDate*').

A/

In **CS/RD2 CONF** and **CS/RD2 PROD** in 'CL990 - TransitionalDate' (applicability NCTS-P5):

The entry "TPendDate" will be defined as follows *(to be aligned with the date to be approved in the UCC WP & IRTA)*:

ID of Transitional Period = **TP_NCTS_P4_P5**
Label of Transitional Date = **TPendDate**
Name of Legacy Phase = **NCTS-P4**
Name of To-Be Phase = **NCTS-P5**
Start date and time of transition (UTC) = **2021-03-01T12:00:00**
End date and time of transition (UTC) = ~~2023-12-01T23:59:59~~ **2025-01-21T00:00:00**
Definition = **The technical transitional period during which a country can send and receive 'Legacy' messages (i.e., NCTS-P4) while other countries can send and receive 'To-Be' messages (i.e., NCTS-P5), to ensure business continuity'. "TPendDate" is used as per DDCOM and DDNTA to activate or de-activate a number of features.**
Explanation = **The "TPendDate" is explained in DDNTA RFC-List.38.**
Language code = **EN**

The above changes were applied after the ECCG of 5-6 October 2023, with **validity date 12.10.2023**.

B/

The **DDNTA-5.15.1-v1.00 (Main Document)** is adapted as follows (addition of text highlight in yellow, removal of ~~text with strikethrough~~):

1)   The section *I.III.1 Applicable documents* will be modified on page 26:

The reference **A16** to 'UCC WP'

Commission Implementing Decision (EU) 2019/2151 of 13 December 2019 establishing the work programme relating to the development and deployment of the electronic systems provided for in the Union Customs Code of 16/12/2019.

will be updated with the legal reference of the **updated UCC Work Programme**, after it is published in the Official Journal.

The reference to the **IRTA** (Commission Implementing Regulation (EU) 2023/1070 of 1 June 2023 on technical arrangements for developing, maintaining and employing electronic systems for the exchange and storage of information under Regulation (EU) No 952/2013 of the European Parliament and the Council) will also be added.

2)   In the section *IV NCTS-P5 Transitional Scenarios* , the first paragraph of section IV will be adapted as follows:

This section provides the principles to be applied by NAs implementing NCTS-P5 functionality **during the Transitional Period.** In addition, it provides the outcome of transitional analysis and the definition of necessary scenarios (and Time Sequence Diagrams, and State Transition

Diagrams, if any) which shall be applied during the Transitional Period (hereafter Transitional Scenarios).

In the whole DDNTA, the expression '**Transitional Period**' covers the period defined in the UCC Work Programme [A16] as '*deployment window*', technically defined in CS/RD2 - CL990[TransitionalDate] where the value of the parameter 'TPendDate' is maintained.

This section also explains the concepts of **step 1** (**core** functions) and **step 2** (**non-core** functions) applied during the Transitional Period, along with the **Protocol for Common Domain exchanges** that defines the rules of interoperability between the NAs having deployed their NCTS-P5 application and the NAs still operating as '*NCTS-P4 only*'.

3)   The Section IV.I will become:

IV.I Introduction

The current operational phase 'New Computerised Transit System Phase 4' (NCTS-P4) implements the functionality for Transit formalities including the safety and security features, as described in the Community Customs Code (CCC) [R6] and its Implementing Provisions [R6].

NCTS-P5 is a new phase implementing the new requirements of the Union Customs Code (UCC) [A10] with its Delegated Act (DA) [A12] and Implementing Act (IA) [A11].

A brief description of NCTS-P5 functionality is provided in section I.I.4. The NCTS-P5 Vision Document [A8] defines the scope of the NCTS-P5.

As per Transition Strategy from NCTS-P4 to NCTS-P5 [A9], the *Progressive Start of Operation* approach has been selected for the transition from NCTS-P4 to NCTS-P5 to ensure business continuity. This will enable NAs to adapt their development considering the various national constraints (resources, technical, etc.) and trader constraints (offering flexibility to Economic Operators in countries with many Economic Operators), while tackling the incompatibility and major evolution of the processes and messages between NCTS-P4 and NCTS-P5.

At this point, two key terms for this document are introduced: "Legacy" and "To Be" situation during the Transitional Period. Hereafter:

-      the term "Legacy" refers to the "Legacy" NAs operating only NCTS-P4, in compliance with the CCC and transitional arrangement of the UCC, whereas
-      the term "To Be" refers to the "To Be" NAs during the Transitional Period supporting "To Be" processes, as specified in the "To Be" DDNTA (please see *Scope during Transitional Period* in sub-section IV.III) with the applicable restrictions and relaxations during the Transitional Period, and the processing of the movements (including the "Legacy" ones). "To Be" must comply with the *Common Domain Protocol Policy* during the Transitional Period (please see section IV.V), so as to interoperate and exchange IEs with other NAs, which would be in "Legacy" or "To Be" operational mode. Detailed information about *Transition Sequencing for the TES & an NA* is

provided in section 4.1.3.1 of the 'Implementation of the Transition from "Legacy" NCTS-P4 & ECS-P2 to "To Be" NCTS-P5 & AES-P1' document [A9].

IMPORTANT: all "To Be" NAs **must** implement in their National Transit Application the BRTs/TRTs mechanism, and a *Transition Handler* module, underline regardless of their planned date of entry in NCTS-P5 operations. This will avoid unnecessary rejections to take place by safeguarding that :

- all movements can be closed properly, even if the movement is processed by a mix of "Legacy" and "To-Be" National Transit Applications,
- the end of the Transitional Period is defined dynamically, fully synchronised and applied in a uniform way in all Europe.

The tool for conversion of Common Domain messages developed by DG TAXUD (i.e., the **ieCA** application, operated centrally or nationally) or any **nationally developed convertor** put in place by the "To Be" NAs shall be maintained at least until the end of the Transitional Period, to facilitate the smooth conversion of messages from "Legacy" to "To Be" format and vice versa.

In addition to this section, the AES and NCTS-P5 Transition Implementation Plan [A8] presents and clarifies the time sequencing and planning of the transition strategy. Moreover, it defines the policy for the open movements, analyses the implications for the NAs and the Traders and describes the operation management during the Transitional Period. Finally, it summarises the timeline of the transition.

4) The subsection IV.V will be modified as defined below:

IV.V.1 General principles

The following generic principles must be applied by NA in "To Be" operational mode during TP:
1. ~~Sender NA in "To Be" operational mode shall always perform identification of Recipient NA operational mode prior submission to the Common Domain and check in CS/MIS2 whether the receiving NA is supporting the scenario/IE/State in which the sending NA wishes to engage the receiving NA;~~
1. The *Sending NA* in "To Be" operational mode shall **always** perform identification of the *Receiving NA*'s operational mode **prior to the submission of messages to the Common Domain** and it shall check in CS/MIS2 whether the *Receiving NA* is supporting the scenario/IE/State in which the *Sending NA* wishes to engage the *Receiving NA*;
2. The *Sending NA* in "To Be" operational mode shall always perform the conversion of "To Be" messages in "Legacy" format using ieCA (or any national convertor), in case the *Receiving NA* is in "Legacy" operational mode;
2. ~~Receiving NA in "To Be" operational mode shall check and assign the incoming IE to the system which will be responsible to handle it as per national implementation and on the basis whether it concerns movement record creation (e.g. IE001/IE003) or movement record update (other IEs such as IE010).~~

3. The *Receiving NA* in "To Be" operational mode – if it decided to maintain the "Legacy" application for closing movements – shall check and assign the incoming IE to the system which will be responsible to handle it as per national implementation and on the basis whether it concerns movement record creation (e.g., IE001, IE050) or movement record update (e.g., IE006, IE010 for "Legacy" movements or for "To-Be" movements).
4. If the transition strategy of the *Sending NA* in "To Be" operational mode is to keep synchronised the "Legacy" database and the "To-Be" database, then "Legacy" or "To-Be" messages can be sent to the *Receiving NA* in "To Be" operational mode.
5. In all other cases, the messages exchanged between NAs in "To Be" operational mode *should* be sent in "To Be" format, the exceptions *should* be minimized.

IV.V.2 Common domain exchange patterns

(…)

Pattern	Group	Trader operational mode at Sending NA	Sending NA operational mode	Receiving NA operational mode	STD-IE Scope Applicable in the Common Domain (CD)
1	CD exchanges between NAs in "Legacy"	"Legacy"	"Legacy"	"Legacy"	"Legacy" protocol [R2]
2	CD exchanges between NA in "Legacy" and NA in "To Be"	"Legacy"	"Legacy"	"To Be"	"Legacy" protocol [R2]
3		"Legacy" / "To Be"	"To Be"	"Legacy"	"Legacy" protocol [R2] (after *downgrade* conversion performed by the "To Be" NA)
4	CD exchanges between NAs in "To Be"	"Legacy" / "To Be"	"To Be" (sending in "To Be" Interface)	"To Be"	~~Mandatory Existing processes (continuity)(IV.III.1)~~ ~~'New processes for a "To Be" country' (IV.III.2)~~ ~~Existing processes upgraded under UCC to be applied at the end of the Transitional Period (IV.III.4)~~ - National optimisation in case of synchronisation of P4/P5 databases Else: - "To Be" protocol is recommended. - "Legacy" protocol remains possible.
~~5~~		~~"To Be" (sending~~	~~"To Be"~~	~~"Legacy" protocol [R2]~~

		in ~~"Legacy" Interface)~~		

Table 39: Common Domain exchanges patterns during TP

IV.V.3   List of CORE and NON-CORE functions

The UCC Work Programme introduces the concept of Core functions and Non-core functions, with different deadlines for implementation.

The split between the two categories is defined as follows:

<<< For layout / readability reasons, the table to be added in DDNTA Main Document cannot be inserted here, but it can be found in page 11 >>>

IMPACT ASSESSMENT:
This RFC-Proposal concerns changes in the DDNTA-5.15.1-v1.00. It will **likely not impact** the Transition Handler of the countries that **will keep synchronised their NCTS-P4 & NCTS-P5 National Applications** after 01.12.2023. It will **certainly not impact** the Transition Handler of the countries that do not maintain their NCTS-P4 application after 01.12.2023.
For the NAs having all their traders already active in *NCTS-P5 only*, the Transition Handler is most likely not affected.

This RFC-Proposal shall be applied by all NAs:
- Either before 01.12.2023 (23:59:59 UTC), for the countries already operating as NCTS-P5 by that date [It can be deployed by each NA in a flexible way before 01.12.2023], or
- Before starting NCTS-P5 operations, for the countries operating as NCTS-P5 after 01.12.2023.

Risk in case of non-implementation:
If no action is taken by a country operating NCTS-P5, the NCTS-P4 and NCTS-P5 systems will be no more compatible. The exchanges between the NA not implementing this RFC-List.38 (= NA configured as 'Post TP') and all other NAs shall become impossible (i.e., most exchanges in 'Post TP' format will be rejected by the 'Legacy' NAs and the 'During TP' NAs, if the value of 'TPendDate' is not modified to include the extended Transitional Period.

If a "To-Be" trader has not defined 'TPendDate' as a parameter (i.e., hard-coded value of 01.12.2023 kept), then this trader shall be no more compatible with the rest of the economic operators and with the National Transit Application. → Importance of early communication and extra testing/certification might be required.

NCTS-P5

Required date of applicability in Operations (**T-Ops**): 01.12.2023

Proposed date of applicability in CT (**T-CT**): Not applicable, the TRP (based on DDNTA-5.15.1) is not modified.

Expected date of approval by ECCG (**T-CAB**): NPMs by 25.09.2023 – ECCG of 06/10/2023

Impacted Messages:
None.

Impacted CI Artefacts:

-   UCC IA/DA Annex B: No.
-   Functional Specifications NCTS-P5 (FSS/BPM): 5.30.2: No.
-   CSE-v51.8.2: No.
-   DDCOM-20.4.0-v1.00: No.
-   **DDNTA-5.15.1-v1.00 (Main Document): Yes**.
-   **CS/RD2_DATA: Yes.**
-   DMP Package-v5.8.0-v1.00: No.
-   CTS-5.8.4-v1.01: No.
-   ACS Main Document: v5.8.0-v1.00 & ACS Annex for NCTS: 5.8.0-v1.00: No.
-   NCTS_CTP-5.10.0-v1.00: No.
-   NCTS_TRP-5.13.x: No.
-   **NCTS_CRP-5.8.3: Yes.** (to change the date of 01.12.2023)
-   ieCA 1.0.4.1: No.
-   CS/MIS2_DATA: No.

NCTS-P6: No impact.

Impact on CI artefacts

☒ **DDNTA-5.15.1-v1.00 (Main Document)**	☐ Cosmetic ☒ Very Low ☐ Medium ☐ High ☐ Very High
	Short description
	DDNTA shall be modified as described in section 3. The publication of the next DDNTA release shall cover both RFC-List.38 and RFC-List.39.
☒ **CS/RD2_DATA**	☐ Cosmetic ☒ Very Low ☐ Medium ☐ High ☐ Very High
	Short description
	Value of parameter <TPendDate> shall be updated in CL990 of CS/RD2 CONF & CS/RD2 PROD as soon as the RFC-List is approved.
☒ **CRP-5.8.x / ieCA**	☐ Cosmetic ☒ Very Low ☐ Medium ☐ High ☐ Very High
	Short description
	Value of parameter <TPendDate> shall be updated.

APPROVED BY ECCG 05-06.10.2023

Estimated impact on National Project

☐ Cosmetic ☒ Very Low ☐ Medium ☐ High ☐ Very High

Short description

Very low impact, considering the need to re-assess if "To Be" traders did not hard-code the 'TPendDate' and will be able to switch to 'NCTS-P5 full implementation' by 'TPendDate' defined in CS/RD2.

Precise assessment to be done by each National Team.

Document History			
Version	**Status**	**Date**	***Comment***
v0.10	Draft by SOFTDEV	10/04/2023	*Draft by SOFTDEV*
v1.00	SfA to DG TAXUD	22/06/2023	SfA Submission. Updating all sections by implementing DG TAXUD comments.
v1.01	SfA to DG TAXUD upgraded by TAXUD	12/07/2023	Document updated to take into account the working version of UCC Work Programme update (internal draft).
v1.02	SfR to NPM	26/07/2023	Document updated to take into account the UCC Work Programme update (version 2 published to ECCG & TCG for Review on 24/07/2023). **DEADLINE for COMMENTS: 15.08.2023**
v2.00	Internal working document	24/08/2023	Draft document implementing the proposed APO
v2.01	Internal working doc	28/08/2023	Draft document implementing the B3 comments
v2.02	SfA to NPM	30/08/2023	Document updated to take into account the comments received from NPMs. **Published on CIRCABC on 31.08.2023**
v3.00	SfA2 to NPM	19/09/2023	Document updated to take into account the comments received from NPMs.
v3.01	SfA2 to NPM	21/09/2023	Implementing final corrections / feedback from B1 & B3 teams - **Published on CIRCABC on 21.09.2023**
v3.01a	Approved by ECCG	05/10/2023	Changing file name and adding HEADER to indicate that the RFC-List.38 was **approved by ECCG** (with abstention of Portugal) **on 05.10.2023**. Changes applied in CS/RD2 (CONF & PROD) on 11.10.2023, with validity date Thursday 12.10.2023 – **Re-published on CIRCABC on 11.10.2023**

NCTS-P5 Core and Non-core functions

The below table defines what are the Core and Non-Core functions of NCTS-P5. The functions are listed in the order of the scenario ID as described in the DDNTA-5.15.1 – v1.00.

Scenario ID	Scenario group (L2)	Scenarios (L3)	Functionality Clusters	CORE / NON-CORE
T-TRA-CFL-M-001	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-002-Correction of the pre-lodged declaration prior to presentation of goods	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-003	Specific Scenarios at Office of Transit (TRT)	T-TRA-TRT-A-008-Diversion at Customs Office of Exit for Transit – Movement is allowed to leave the Security Area	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-002	Specific Scenarios at Office of Transit (TRT)	T-TRA-TRT-A-005-Movement arrives at declared Office of Exit for Transit	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-E-004	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-E-005-Cancellation of the pre-lodged declaration prior to presentation of goods	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-E-005	Possible Exceptions in the Common Domain (Exceptions of message sequencing in the Common Domain) (EXC)	T-TRA-EXC-A-005-AXR Record missing	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-001	Possible Exceptions in the Common Domain (Exceptions of message sequencing in the Common Domain) (EXC)	T-TRA-EXC-A-006-Notification leaving security area not received	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-E-012	Export Followed by Transit (EFT)	T-TRA-EFT-E-004-Lodgement of transit declaration having export as previous procedure - Negative response from Office of Exit (before acceptance)	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-014	Export Followed by Transit (EFT)	T-TRA-EFT-M-002-Core flow of the export followed by transit - Internal transit (Appropriate Office of Destination)	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-E-015	Export Followed by Transit (EFT)	T-TRA-EFT-A-005-Lodgement of Transit Declaration having Export as Previous Procedure - Unknown Export MRN and Positive IE503 (before acceptance)	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-M-006	Specific Scenarios for Incidents "En Route" (INC)	T-TRA-INC-M-001-Capturing movement information at Office of Incident Registration	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-007	Export Followed by Transit (EFT)	T-TRA-EFT-E-007-Amendment of transit declaration having export as previous procedure – Negative Response from AES	New processes between "To Be" countries	NON-CORE

Scenario ID	Scenario group (L2)	Scenarios (L3)	Functionality Clusters	CORE / NON-CORE
T-TRA-DEP-A-008	Export Followed by Transit (EFT)	T-TRA-EFT-A-012-Manual closure at Departure based on alternative proof - Export is previous procedure	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-E-009	Export Followed by Transit (EFT)	T-TRA-EFT-M-003-Core flow of the export followed by transit - Internal transit (Inappropriate Office of Destination)	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-011	Export Followed by Transit (EFT)	T-TRA-EFT-A-006-Amendment of transit declaration having export as previous procedure – Positive Response from AES	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-020	Specific Scenarios at Office of Transit (TRT)	T-TRA-TRT-A-007- Movement allowed to leave the Security Area	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-010	Specific Scenarios at Office of Transit (TRT)	T-TRA-TRT-A-006-Movement stopped at Customs Office of Exit for Transit	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-013	Export Followed by Transit (EFT)	T-TRA-EFT-M-001-Core flow of the export followed by transit - External transit	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-021	Export Followed by Transit (EFT)	T-TRA-EFT-A-011-Transit movement having export as previous procedure - Departure notifies Office of Exit for the initiation of Recovery	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-016	Export Followed by Transit (EFT)	T-TRA-EFT-A-010-Transit movement having export as previous procedure - Control results from destination indicate major discrepancies - Dispatch of control results information to Office of Exit	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-017	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-E-012-Rejection of transit declaration	Mandatory Existing processes (continuity)	CORE
T-TRA-DEP-A-018	T-ENR-REC-Handle Recovery (REC)	T-ENR-REC-A-008-Recovery Initiation on Incident occurrence	New processes between "To Be" countries	NON-CORE
T-TRA-DEP-A-019	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-014-Declaration amendment accepted	Mandatory Existing processes (continuity)	CORE
T-TRA-TRT-A-003	Possible Exceptions in the Common Domain (Exceptions of message sequencing in the Common Domain) (EXC)	T-TRA-EXC-A-004-NCF not received	Mandatory Existing processes (continuity)	CORE
T-TRA-TRT-A-004	Core Flow (CFL)	T-TRA-CFL-M-001-Standard Transit Procedure (overview)	Mandatory Existing processes (continuity)	CORE
T-TRA-TRT-A-010	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-001-Simplified procedure at departure	Mandatory Existing processes (continuity)	CORE
T-TRA-TRT-A-002	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-018-Invalidation of a transit declaration before release for transit when declaration data is electronically unavailable	Mandatory Existing processes (continuity)	CORE

Scenario ID	Scenario group (L2)	Scenarios (L3)	Functionality Clusters	CORE / NON-CORE
T-TRA-TRT-A-001	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-017-Invalidation request by the Holder of the Transit Procedure after release for transit	Mandatory Existing processes (continuity)	CORE
T-TRA-TRT-A-005	Specific Scenarios at Office of Transit (TRT)	T-TRA-TRT-A-009-Diversion at Customs Office of Exit for Transit – Movement stopped at the border of Office of Exit for Transit	New processes between "To Be" countries	NON-CORE
TRN1-TRA-DES-A-008	Resolution of Major Discrepancies reported in the Destination Control Results	TRN1-TRA-DES-A-008- Major Discrepancies found during control at the Office of Destination during Transitional Period	Mandatory Existing processes (continuity)	CORE
T-TRA-TRT-A-006	Export Followed by Transit (EFT)	T-TRA-EFT-A-009-Transit movement having export as previous procedure is not released for transit	New processes between "To Be" countries	NON-CORE
TRN1-T-TRA-EXC-M-001	Movement Query Information	TRN1-T-TRA-EXC-M-001-Movement Query Information during Transitional Period	Mandatory Existing processes (continuity)	CORE
T-TRA-TRT-A-009	Specific Scenarios for Incidents "En Route" (INC)	T-TRA-INC-A-003-Office of incident registration allows transit movement to continue its journey	New processes between "To Be" countries	NON-CORE
TRN2-TRA-DES-A-008	Resolution of Major Discrepancies reported in the Destination Control Results	TRN2-TRA-DES-A-008-Major Discrepancies found during control at the Office of Destination during Transitional Period - Recovery initiation	Mandatory Existing processes (continuity)	CORE
T-TRA-DES-A-004	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-003-Transit presentation notification valid	New processes between "To Be" countries	NON-CORE
TRN2-T-TRA-EXC-M-001	Movement Query Information	TRN2-T-TRA-EXC-M-001-Movement Query Information during Transitional Period	Mandatory Existing processes (continuity)	CORE
T-TRA-DES-A-006	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-E-004-Transit presentation notification not valid	New processes between "To Be" countries	CORE
TRN-T-TRA-DEP-A-019	Movement Query Information	TRN-T-TRA-DEP-A-019-Invalidation after Release for Transit during Transitional Period	Mandatory Existing processes (continuity)	CORE
T-TRA-DES-E-003	Export Followed by Transit (EFT)	T-TRA-EFT-A-008-Invalidation of transit declaration having export as previous procedure - Before release for transit	New processes between "To Be" countries	NON-CORE
TRN-T-TRA-INC-A-003	Incidents En-Route during Transitional Period	TRN-T-TRA-INC-A-003-Office of incident registration allows transit movement to continue its journey during Transitional Period	New processes between "To Be" countries	NON-CORE
T-TRA-DES-A-013	Specific Scenarios for Incidents "En Route" (INC)	T-TRA-INC-A-002-Transit Movement does not continue-Office of Incident Registration becomes Actual Office of Destination	New processes between "To Be" countries	NON-CORE
TRN-T-TRA-INC-M-001	Incidents En-Route during Transitional Period	TRN-T-TRA-INC-M-001-Capturing movement information at Office of Incident Registration during Transitional Period	New processes between "To Be" countries	NON-CORE
T-TRA-DES-A-009	Cancellation of guarantee usage (GUC)	T-GMN-GUC-A-007-Cancellation of guarantee registration usage due to the invalidation of transit declaration after release for transit	Mandatory Existing processes (continuity)	CORE

Scenario ID	Scenario group (L2)	Scenarios (L3)	Functionality Clusters	CORE / NON-CORE
T-TRA-DES-A-010	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-A-005-Sufficient information–Enquiry with movement unknown at Destination–Holder of the transit procedure contacted	Mandatory Existing processes (continuity)	CORE
T-TRA-INC-M-001	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-A-002-Sufficient information–Enquiry with arrival processing resumed	Mandatory Existing processes (continuity)	CORE
T-TRA-INC-A-003	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-M-001-Status Request with Arrival Processing Resumed	Mandatory Existing processes (continuity)	CORE
T-TRA-INC-A-002	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-A-010-Enquiry in the case of suspected fraud	Mandatory Existing processes (continuity)	CORE
T-TRA-EXC-M-001	Cancellation of guarantee usage (GUC)	T-GMN-GUC-A-006-Cancellation of guarantee registration usage due to a transit declaration invalidation request submitted by the holder of the transit procedure before release for transit	Mandatory Existing processes (continuity)	CORE
T-TRA-EXC-A-007	T-ENR-REC-Handle Recovery (REC)	T-ENR-REC-A-004-Recovery at other country – Transfer of competency	Mandatory Existing processes (continuity)	CORE
T-TRA-EXC-A-002	T-ENR-REC-Handle Recovery (REC)	T-ENR-REC-A-002-Recovery at Destination – Destination's Recovery request accepted	Mandatory Existing processes (continuity)	CORE
T-TRA-EXC-A-003	T-ENR-REC-Handle Recovery (REC)	T-ENR-REC-A-005-Recovery at Departure – Other Country's Recovery Request Rejected – No Transfer of Competency	Mandatory Existing processes (continuity)	CORE
T-TRA-EXC-A-004	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-A-007-Insufficient information – Movement closed–Enquiry cancelled	Mandatory Existing processes (continuity)	CORE
T-TRA-EXC-A-005	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-A-011-Cancellation of Enquiry request	Mandatory Existing processes (continuity)	CORE
T-TRA-EXC-A-006	Guarantee Release (GUF)	T-GMN-GUF-M-002-Release of a Guarantee	Mandatory Existing processes (continuity)	CORE
T-TRA-EXC-A-008	Cancellation of guarantee usage (GUC)	T-GMN-GUC-A-005-Cancellation of the national guarantee registration usage due to the failure of the international guarantee registration usage	Mandatory Existing processes (continuity)	CORE
T-TRA-EFT-M-001	Cancellation of guarantee usage (GUC)	T-GMN-GUC-A-008-Cancellation of the international guarantee registration usage due to the failure of the national guarantee registration usage	Mandatory Existing processes (continuity)	CORE
T-TRA-EFT-M-002	T-ENR-REC-Handle Recovery (REC)	T-ENR-REC-A-003-Recovery at Departure – Destination's Recovery request rejected	Mandatory Existing processes (continuity)	CORE
T-TRA-EFT-M-003	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-A-012-Exchange of additional information	Mandatory Existing processes (continuity)	CORE

Scenario ID	Scenario group (L2)	Scenarios (L3)	Functionality Clusters	CORE / NON-CORE
T-TRA-EFT-E-004	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-A-003-Sufficient information–Enquiry response with "Return Copy"	Mandatory Existing processes (continuity)	CORE
T-TRA-EFT-A-005	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-A-004-Sufficient information–Enquiry with duplicate movement	Mandatory Existing processes (continuity)	CORE
T-TRA-EFT-A-006	T-ENR-REC-Handle Recovery (REC)	T-ENR-REC-M-001-Early Recovery in Special Cases	Mandatory Existing processes (continuity)	CORE
T-TRA-EFT-E-007	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-A-006-Insufficient information–Alternative proof and movement closed	Mandatory Existing processes (continuity)	CORE
T-TRA-EFT-A-010	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-A-008-Insufficient information–Enquiry started–Recovery started	Mandatory Existing processes (continuity)	CORE
T-TRA-EFT-A-011	T-ENR-ENQ-Handle Enquiry (ENQ)	T-ENR-ENQ-A-009-Insufficient information–Holder of the transit procedure provides negative response	Mandatory Existing processes (continuity)	CORE
T-TRA-EFT-A-012	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-M-006-Control by Office of Departure with release for transit	Mandatory Existing processes (continuity)	CORE
T-TRA-EFT-A-008	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-021-Release for transit refused for safety and security reasons	Mandatory Existing processes (continuity)	CORE
T-TRA-EFT-A-009	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-013-Release for transit refused due to guarantee registration failure	Mandatory Existing processes (continuity)	CORE
T-TRA-TIR-M-001	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-010-Control by Office of Departure with release for transit refused	Mandatory Existing processes (continuity)	CORE
T-GMN-GUI-M-001	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-007-Positive release request with release for transit	Mandatory Existing processes (continuity)	CORE
T-GMN-GUR-M-001	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-016-Invalidation request by the Holder of the Transit Procedure before release for transit	Mandatory Existing processes (continuity)	CORE
T-GMN-GUF-M-001	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-020 - 'Open' ATR Response C_ATR_RSP (IE115) is closed	Mandatory Existing processes (continuity)	CORE
T-GMN-GUF-M-002	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-008-Negative release request	Mandatory Existing processes (continuity)	CORE
T-GMN-GUF-M-003	Specific Scenarios at Office of Transit (TRT)	T-TRA-TRT-A-010-Transit Declaration having Office of Destination being also Office of Transit	Mandatory Existing processes (continuity)	CORE
T-GMN-GUC-A-005	Specific Scenarios at Office of Transit (TRT)	T-TRA-TRT-A-002-Diversion at Office of Transit accepted	Mandatory Existing processes (continuity)	CORE
T-GMN-GUC-A-008	Specific Scenarios at Office of Destination (DES)	T-TRA-DES-A-011-Manual closure at Departure based on alternative proof	Mandatory Existing processes (continuity)	CORE

Scenario ID	Scenario group (L2)	Scenarios (L3)	Functionality Clusters	CORE / NON-CORE
T-GMN-GUC-A-006	Specific Scenarios at Office of Transit (TRT)	T-TRA-TRT-A-003-Control by Office of Transit with Passage Confirmed	Mandatory Existing processes (continuity)	CORE
T-GMN-GUC-A-007	Specific Scenarios at Office of Destination (DES)	T-TRA-DES-M-001-Arrival notification valid	Mandatory Existing processes (continuity)	CORE
T-ENR-ENQ-M-001	Specific Scenarios at Office of Destination (DES)	T-TRA-DES-A-004-Simplified procedure at destination	Mandatory Existing processes (continuity)	CORE
T-ENR-ENQ-A-002	Specific Scenarios at Office of Transit (TRT)	T-TRA-TRT-A-004-Control by Office of Transit with Passage Not Confirmed	Mandatory Existing processes (continuity)	CORE
T-ENR-ENQ-A-003	Specific Scenarios at Office of Destination (DES)	T-TRA-DES-E-003-Rejection of arrival notification	Mandatory Existing processes (continuity)	CORE
T-ENR-ENQ-A-004	Specific Scenarios at Office of Transit (TRT)	T-TRA-TRT-A-001-Diversion at Office of Transit rejected	Mandatory Existing processes (continuity)	CORE
T-ENR-ENQ-A-005	Specific Scenarios at Office of Destination (DES)	T-TRA-DES-A-009-Diversion at Office of Destination accepted	Mandatory Existing processes (continuity)	CORE
T-ENR-ENQ-A-006	Specific Scenarios at Office of Destination (DES)	T-TRA-DES-A-006-Unloading Permission Received – Unloading Remarks	Mandatory Existing processes (continuity)	CORE
T-ENR-ENQ-A-007	Specific Scenarios at Office of Destination (DES)	T-TRA-DES-E-007-Unloading remarks rejected	Mandatory Existing processes (continuity)	CORE
T-ENR-ENQ-A-008	Possible Exceptions in the Common Domain (Exceptions of message sequencing in the Common Domain) (EXC)	T-TRA-EXC-A-002-AAR missing	Mandatory Existing processes (continuity)	CORE
T-ENR-ENQ-A-009	Possible Exceptions in the Common Domain (Exceptions of message sequencing in the Common Domain) (EXC)	T-TRA-EXC-A-003-ATR missing	Mandatory Existing processes (continuity)	CORE
T-ENR-ENQ-A-010	Specific Scenarios at Office of Destination (DES)	T-TRA-DES-A-010-Diversion at Office of Destination rejected	Mandatory Existing processes (continuity)	CORE
T-ENR-ENQ-A-011	Possible Exceptions in the Common Domain (Exceptions of message sequencing in the Common Domain) (EXC)	T-TRA-EXC-M-001-Query movement information	Mandatory Existing processes (continuity)	CORE

Scenario ID	Scenario group (L2)	Scenarios (L3)	Functionality Clusters	CORE / NON-CORE
T-ENR-ENQ-A-012	Possible Exceptions in the Common Domain (Exceptions of message sequencing in the Common Domain) (EXC)	T-TRA-EXC-A-007-Status request/response	Mandatory Existing processes (continuity)	CORE
T-ENR-REC-M-001	Specific Scenarios at Office of Destination (DES)	T-TRA-DES-A-012-Major Discrepancies found during control at the Office of Destination – Resolved after the expiration of resolution timer	Mandatory Existing processes (continuity)	CORE
T-ENR-REC-A-008	Specific Scenarios at Office of Destination (DES)	T-TRA-DES-A-008-Major Discrepancies found during control at the Office of Destination – Resolved before the expiration of resolution timer	Mandatory Existing processes (continuity)	CORE
T-ENR-REC-A-002	Specific Scenarios at Office of Destination (DES)	T-TRA-DES-A-013-Major Discrepancies found during control at the Office of Destination – Major Discrepancies are confirmed– Recovery to be started	Mandatory Existing processes (continuity)	CORE
T-ENR-REC-A-003	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-E-015-Declaration amendment rejected	Mandatory Existing processes (continuity)	CORE
T-ENR-REC-A-004	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-E-009-Release request rejected	Mandatory Existing processes (continuity)	CORE
T-ENR-REC-A-005	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-011-Transit Movement is released for transit	Mandatory Existing processes (continuity)	CORE
T-ENR-REC-A-006	Specific Scenarios at Office of Departure (DEP)	T-TRA-DEP-A-019-Invalidation of a transit declaration after release for transit	Mandatory Existing processes (continuity)	CORE
T-ENR-REC-A-007	Possible Exceptions in the Common Domain (Exceptions of message sequencing in the Common Domain) (EXC)	T-TRA-EXC-A-008-Deviation from the Binding Itinerary at Actual Office of Transit - Movement is allowed Diversion after registering the Incident	Mandatory Existing processes (continuity)	CORE
TRN1-TRA-DES-A-	Guarantee Release (GUF)	T-GMN-GUF-M-001-Credit of Reference Amount	Mandatory Existing processes (continuity)	CORE
TRN2-TRA-DES-A-	Guarantee Release (GUF)	T-GMN-GUF-M-003-Release of a Guarantee after resolution of major discrepancies in the destination control results	Mandatory Existing processes (continuity)	CORE
TRN-T-TRA-INC-M	Check Guarantee Integrity (GUI)	T-GMN-GUI-M-001-Check guarantee integrity	Mandatory Existing processes (continuity)	CORE
TRN1-T-TRA-EXC-	T-ENR-REC-Handle Recovery (REC)	T-ENR-REC-A-006-Recovery at Departure – Departure Recovery Request Sent to Other Country Rejected	Mandatory Existing processes (continuity)	CORE

Scenario ID	Scenario group (L2)	Scenarios (L3)	Functionality Clusters	CORE / NON-CORE
TRN2-T-TRA-EXC-	T-ENR-REC-Handle Recovery (REC)	T-ENR-REC-A-007-Recovery at other country–Departure Recovery request sent to other country accepted	Mandatory Existing processes (continuity)	CORE
TRN-T-TRA-DEP-A	Registration of Guarantee Usage (GUR)	T-GMN-GUR-M-001-Registration of guarantee usage	Mandatory Existing processes (continuity)	CORE

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